



IN4325

Evaluation in IR

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The big picture

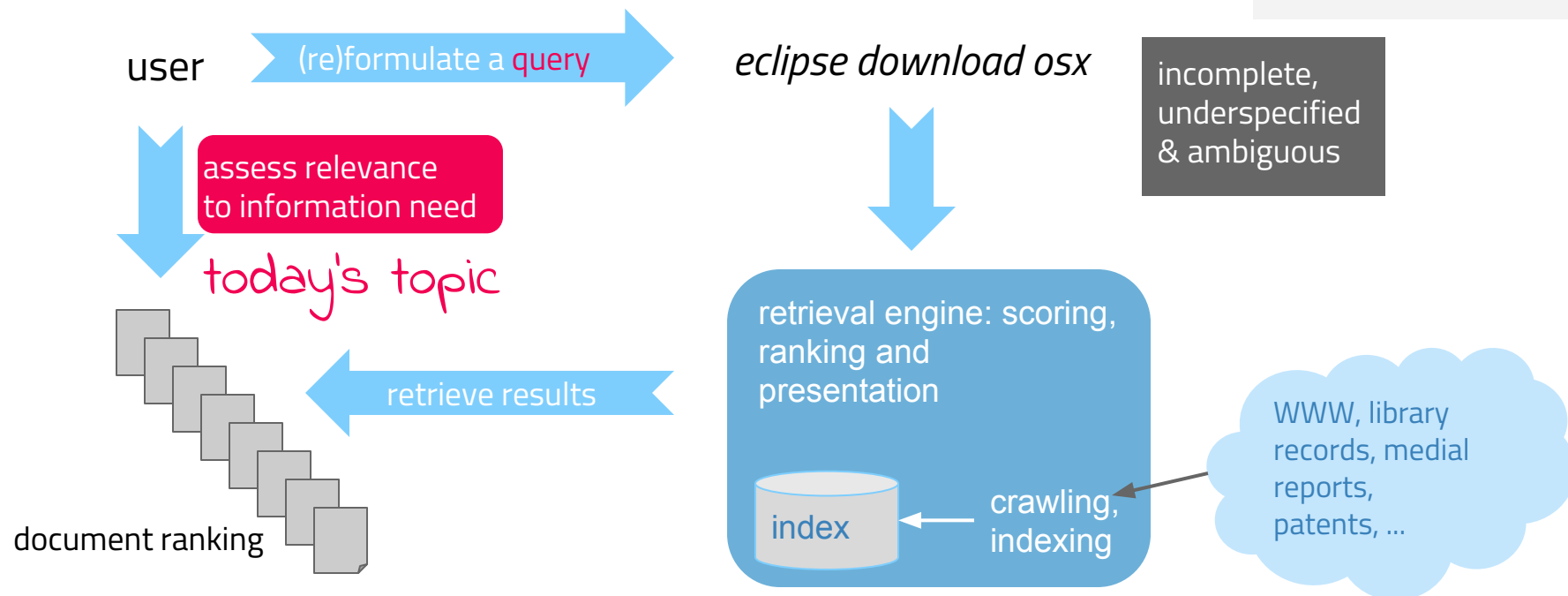
The essence of classic IR

Information need: *Looks like I need Eclipse for this job. Where can I download the latest beta version for macOS Sierra?*

Information need
Topic the user wants to know more about

Query
Translation of need into an input for the search engine

Relevance
A document is relevant if it (partially) provides answers to the information need



Why “classic”?

| Classic Web search | Proactive search (zero query search) | Voice search | |
|--|---|--------------------------------|-----|
| <u>Query</u> = textual input | <u>Query</u> = none | <u>Query</u> = speech input | |
| <u>Results</u> = ranked list of search result snippets (i.e. “ten blue links”) | <u>Results</u> = a single information card | <u>Results</u> = speech output | ... |
| <u>Actions</u> = click, view | <u>Actions</u> = view | <u>Actions</u> = speech input | |

Information retrieval is a broad field that deals with a wide range of information access issues.

Connected to information science, NLP, applied machine learning, semantic Web and (in recent years) dialogue systems.

What are we up to as IR community?

Let's quickly look at upcoming benchmark tasks (TREC* 2018)

Complex Answer Retrieval

“ The focus ... is on developing systems that are capable of answering complex information needs by collating relevant information from an entire corpus. ”

Incident Streams

“ ... to automatically process social media streams during emergency situations with the aim of categorizing information and aid requests ... for emergency service operators. ”

Precision Medicine

“ ... building systems that use data (e.g., a patient's past medical history and genomic information) to link oncology patients to clinical trials for new treatments ”

News search

“ ... will foster research that establishes a new sense what relevance means for news search. ”

* Text REtrieval Conference (1992 - *), changing tracks every year. trec.nist.gov

Benchmarks drive our community

CLEF

Conference and Labs
of the Evaluation
Forum

<http://www.clef-initiative.eu/>

EUROPE

MediaEval

Benchmarking
Initiative for
Multimedia
Evaluation

<http://www.multimediaeval.org/>

EUROPE

NTCIR

NII Test Collection for
IR Systems

<http://research.nii.ac.jp/ntcir/index-en.html>

JAPAN

FIRE

Forum for Information
Retrieval Evaluation

<http://www.isical.ac.in/~cia/>

INDIA

TREC

USA

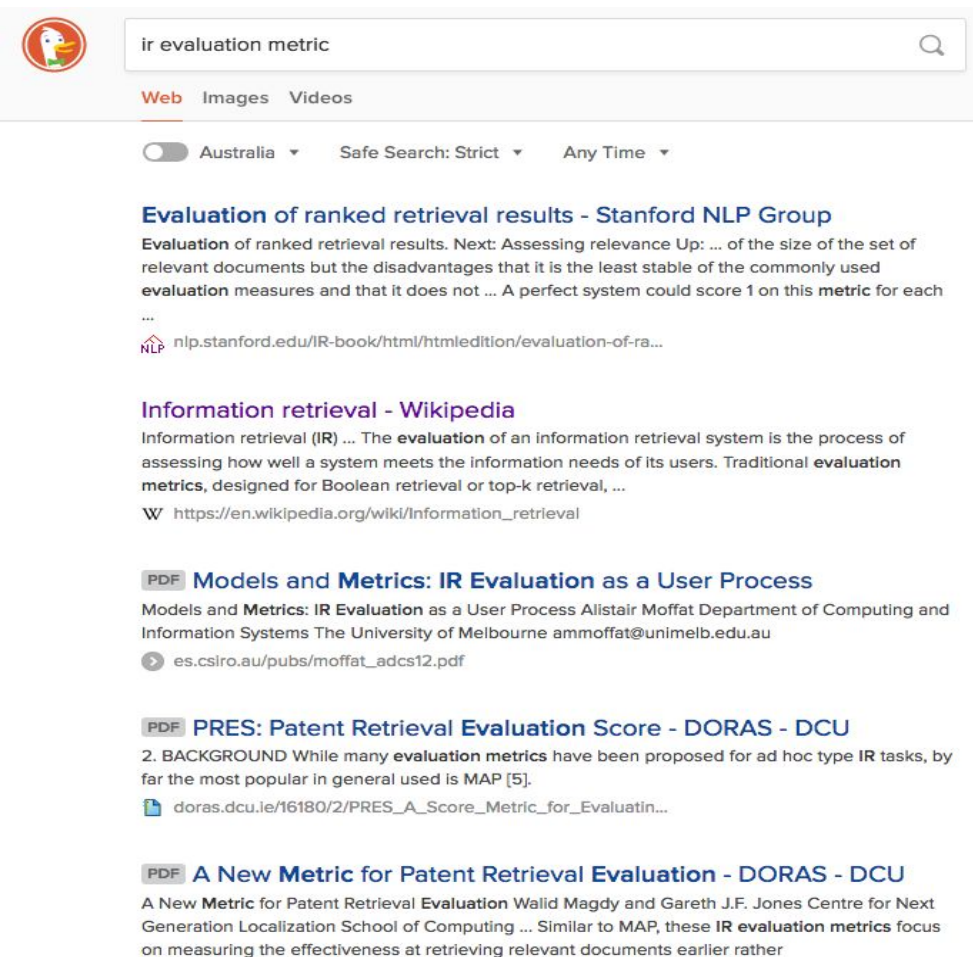
TRECVID

USA



' ... engineers then come up with a **hypothesis** about what signal what data could we integrate into our algorithm we test all these reasonable ideas through **rigorous scientific testing** ... ' Google Inside Search

Question time



ir evaluation metric

Web Images Videos

Australia Safe Search: Strict Any Time

Evaluation of ranked retrieval results - Stanford NLP Group

Evaluation of ranked retrieval results. Next: Assessing relevance Up: ... of the size of the set of relevant documents but the disadvantages that it is the least stable of the commonly used evaluation measures and that it does not ... A perfect system could score 1 on this metric for each ...

nlp.stanford.edu/IR-book/html/htmledition/evaluation-of-ra...

Information retrieval - Wikipedia

Information retrieval (IR) ... The **evaluation** of an information retrieval system is the process of assessing how well a system meets the information needs of its users. Traditional **evaluation metrics**, designed for Boolean retrieval or top-k retrieval, ...

https://en.wikipedia.org/wiki/Information_retrieval

PDF Models and Metrics: IR Evaluation as a User Process

Models and **Metrics: IR Evaluation** as a User Process Alistair Moffat Department of Computing and Information Systems The University of Melbourne ammoffat@unimelb.edu.au

es.csiro.au/pubs/moffat_adcs12.pdf

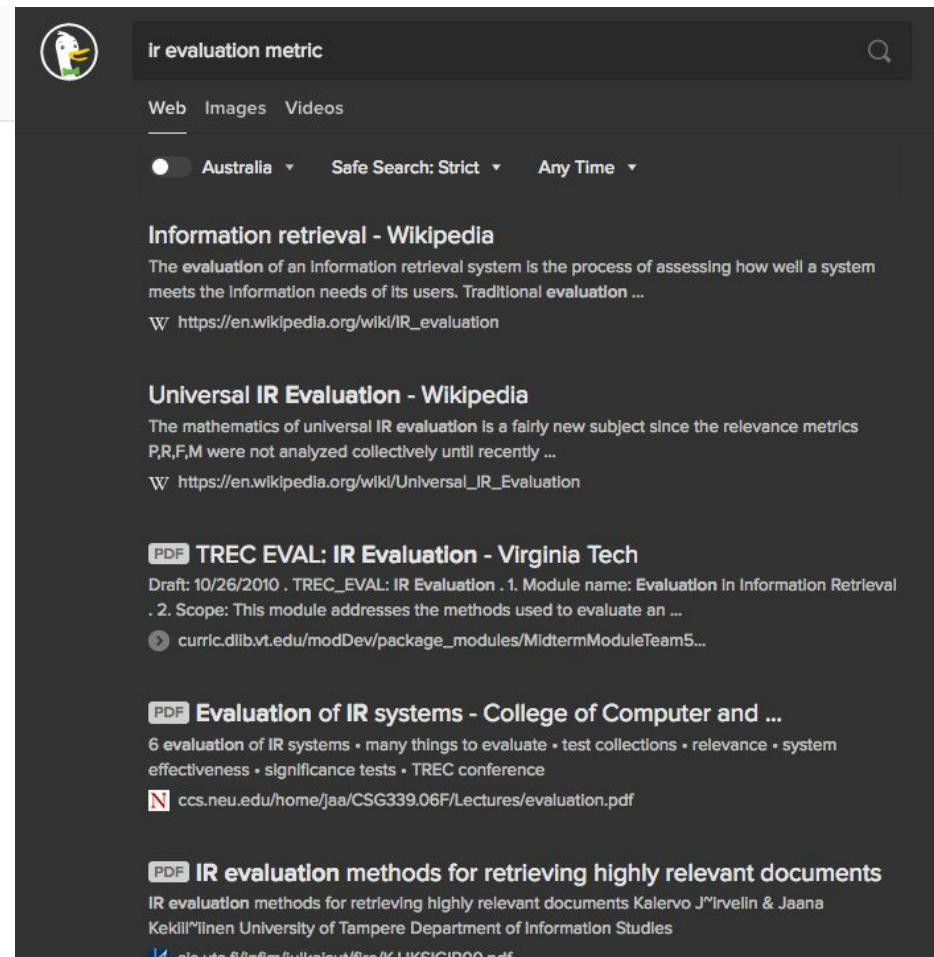
PDF PRES: Patent Retrieval Evaluation Score - DORAS - DCU

2. BACKGROUND While many **evaluation metrics** have been proposed for ad hoc type IR tasks, by far the most popular in general used is MAP [5].

doras.dcu.ie/16180/2/PRES_A_Score_Metric_for_Evaluatin...

PDF A New Metric for Patent Retrieval Evaluation - DORAS - DCU

A New **Metric** for Patent Retrieval **Evaluation** Walid Magdy and Gareth J.F. Jones Centre for Next Generation Localization School of Computing ... Similar to MAP, these **IR evaluation metrics** focus on measuring the effectiveness at retrieving relevant documents earlier rather



ir evaluation metric

Web Images Videos

Australia Safe Search: Strict Any Time

Information retrieval - Wikipedia

The **evaluation** of an information retrieval system is the process of assessing how well a system meets the information needs of its users. Traditional **evaluation** ...

https://en.wikipedia.org/wiki/IR_evaluation

Universal IR Evaluation - Wikipedia

The mathematics of universal IR **evaluation** is a fairly new subject since the relevance metrics P,R,F,M were not analyzed collectively until recently ...

https://en.wikipedia.org/wiki/Universal_IR_Evaluation

PDF TREC EVAL: IR Evaluation - Virginia Tech

Draft: 10/26/2010 . TREC_EVAL: IR Evaluation . 1. Module name: Evaluation In Information Retrieval . 2. Scope: This module addresses the methods used to evaluate an ...

curric.dlib.vt.edu/modDev/package_modules/MidtermModuleTeam5...

PDF Evaluation of IR systems - College of Computer and ...

6 **evaluation** of IR systems • many things to evaluate • test collections • relevance • system effectiveness • significance tests • TREC conference

ccs.neu.edu/home/jaa/CSG339.06F/Lectures/evaluation.pdf

PDF IR evaluation methods for retrieving highly relevant documents

IR **evaluation** methods for retrieving highly relevant documents Kalervo J. Irvelin & Jaana Kekkonen University of Tampere Department of Information Studies

cis.uta.fi/ir/ir/bulk/evaluation/IR%20EVAL.pdf

Given two system rankings for a query, how can you decide whether one is better than another?

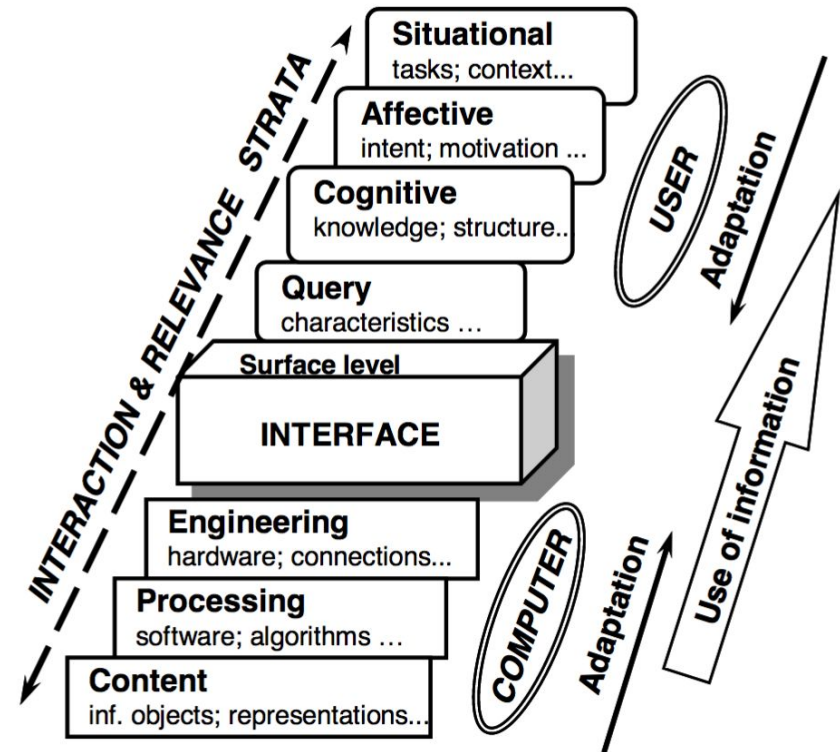
Relevance

Why are we starting with the evaluation lecture in this course anyway?

Because evaluation is a vital component of ~95% of all published IR papers. No matter your choice of project or survey, you need to understand IR evaluation.

Relevance

- Key notion in information retrieval
- A good retrieval system retrieves all relevant documents but as few non-relevant documents as possible
- Relevance is an intuitive notion for humans
- Retrieval systems **create** relevance and users **derive** relevance
- Ongoing debate for the past 40 years (see reviews below)



Stratified model of relevance interactions.
(Saracevic, 2007)

Manifestations of relevance (Saracevic, 2007)

- **System relevance:** relation between query and information objects (documents)
- **Topical relevance:** relation between the subject of the topic and the subject of the information objects
- **Cognitive relevance:** relation between the cognitive state of the user and the information objects
→ cognitive correspondence, novelty, information quality, ...
- **Situational relevance** (utility): relation between the situation and the information objects
→ appropriateness of information, reduction of uncertainty, ...
- **Affective relevance:** relation between the intent, goals, emotions of the user and information
→ success, accomplishment, ...

Question time

Goal

Evaluation measures that reflect users' satisfaction with the system

What do you think is part of a user being satisfied with an IR system?

Evaluation is at the heart of IR

Goals

Evaluation measures that reflect users' satisfaction with the system

The perfect metric also allows us to fine-tune the system via machine learning

User satisfaction in terms of

- Coverage of the corpus
- Time lag between query and retrieved results - even 200ms delays are noticeable to users (1)
- Presentation of output
- Required user effort

- ...

- Proportion of relevant results retrieved (**recall**)
- Proportion of retrieved results that is relevant (**precision**)
- ...

system effectiveness

Assumption: the more effective the system, the more satisfied the user.

Evaluation is difficult

- Which users to evaluate for?
- Which intents to evaluate for?
- How are evaluations be made **reusable**?
- How can the difference between systems be **quantified**?

Test Collection Approach *

* Mainstream way of evaluation. Empirical. Another approach is the **axiomatic one** (found in theoretic research).

Cranfield evaluation paradigm (1960s)

IR evaluation methodology developed by Cyril Cleverdon in the 1960s; Cranfield corpus:

- Test collection of 1,400 documents (1) [scientific abstracts]
- Set of 225 topics (information needs)
- **Ad hoc** task
- **Complete** set of binary (0/1) relevance judgments
- Metrics to compare systems with each other
- **i.e. reusable data!**

Example Cranfield corpus topic:

papers applicable to this problem (calculation procedures for laminar incompressible flow with arbitrary pressure gradient)



flickr@mkgrimaldos

Paradigm adapted to the modern time

Relevance judgments are
no longer binary

- **Multi-graded** decision
(somewhat relevant vs. very relevant)
- **User-dependent** decision (what is relevant for you may not be relevant for me)
- **Context-dependent** decision
(whether something is relevant depends on the time of day, ...)

Topics and queries are not one and the same anymore

TREC 2001 Web ad hoc topic

<top>

<num> Number: 503

<title> Vikings in
Scotland?

<desc> Description:
What hard evidence
proves that the Vikings
visited or lived in
Scotland?

<narr> Narrative: A document that merely states that the Vikings visited or lived in Scotland is not relevant. A relevant document must mention the source of the information, such as relics, sagas, runes or other records from those times.

</top>



We are conducting **simulations** of users searching with a retrieval system.

- + Cheaper, easier, reusable, reproducible
- Test collection retrieval effectiveness gains (=simple simulated users) may not translate to operational gains (=real users).

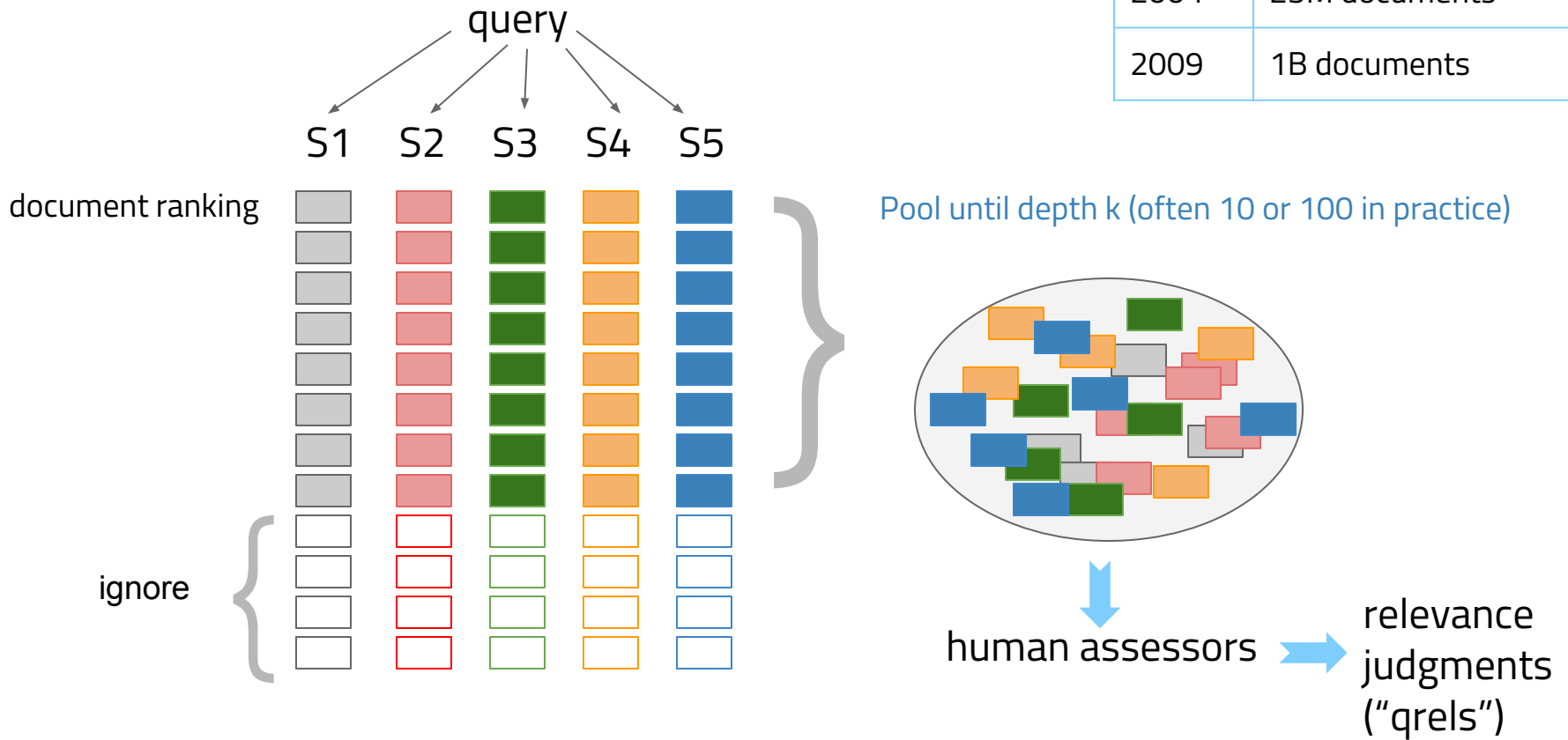
Also known as **batch evaluation** or **offline evaluation**.



What documents to judge: depth pooling

Commonly used today

| Year | TREC Web corpus sizes |
|------|-----------------------|
| 2001 | 1.69M documents |
| 2004 | 25M documents |
| 2009 | 1B documents |



TREC-8 numbers (ran in 1999)

 50

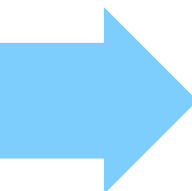
Topics (ad hoc task)

 86,830

Pooled documents (k=100)

 129

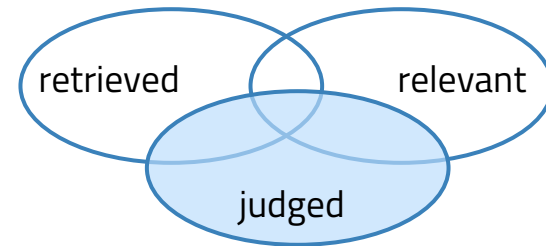
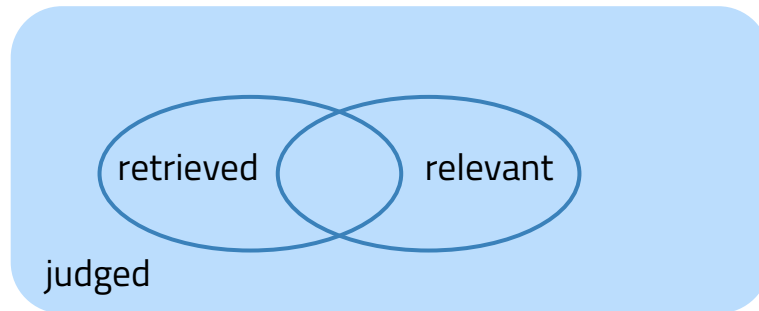
Systems

 723

Assessor hours

At \$20 an hour, that amounts to \$14,460.
And thus, we are still using the TREC-8
corpus to this day for experiments!

Cranfield vs. TREC depth pooling



Relevant documents not appearing in the pool are missed.

Test collections are vital to ensure continuous **algorithmic improvements** (one could argue) ... however, papers are easier to publish when results are **positive**.

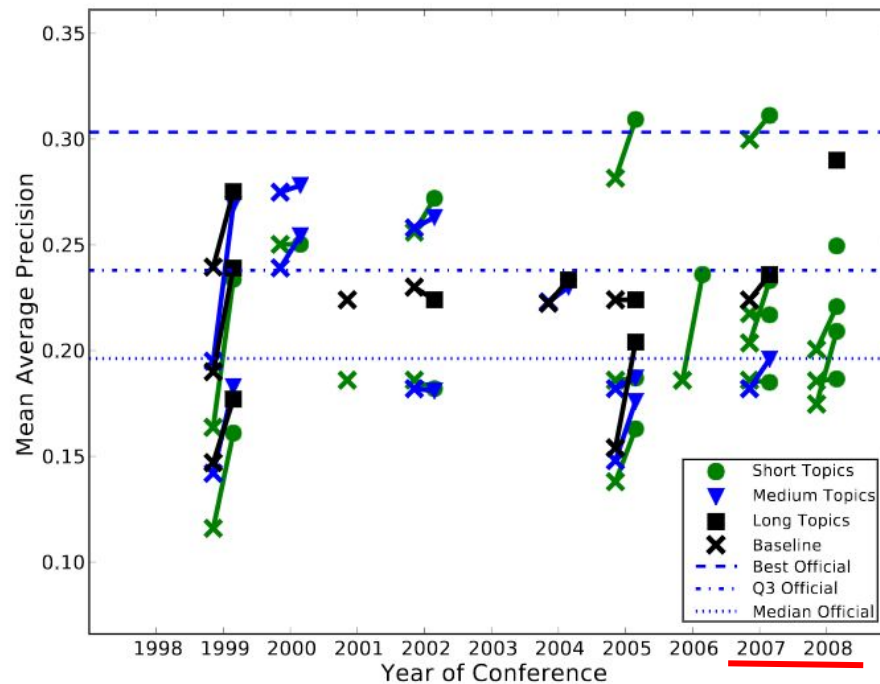
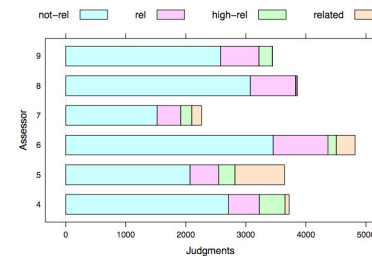


Figure 1: Published MAP scores for the TREC 7 Ad-Hoc collection. The connections show before-after pairs.



Assessor reliability

- Relevance assessments are collected by **assessors**: can be highly trained information officers (e.g. retired government officials at TREC) or crowd workers (paid 1-5 cents per label) or graduate students or ...
- Assessors differ in their assessments, especially so if they are crowdworkers (1)
- Even well-trained assessors assess differently depending on time of day, emotions, order of the documents to judge, etc.
- At a fixed price, its it better (=more stable **systems' ranking**) to have more topics (and a shallower pool) than fewer topics (and a deeper pool), i.e. topics are a larger source of variance than missing judgments



Task-dependent evaluation - question time

Query:
homepage TU Delft

Query:
TU Delft world-wide
university ranking

Query:
TU Delft patents
nano-technology

Query:
Successful treatment
of Newcastle disease

Q1: Informational vs. navigational
Q2: Number of relevant documents
Q3: How many relevant docs need to be retrieved?

Task-dependent evaluation - question time

Query:
homepage TU Delft

Navigational query

1 relevant entry page

Query:
**Successful treatment
of Newcastle disease**

Informational query

N relevant pages,
retrieving all is
important

Query:
**TU Delft world-wide
university ranking**

Informational query

N relevant entry
pages, retrieving some
of those is good
enough

Query:
**TU Delft patents
nano-technology**

Informational query

N relevant patents,
retrieving all is
important

Q1: Informational vs. navigational

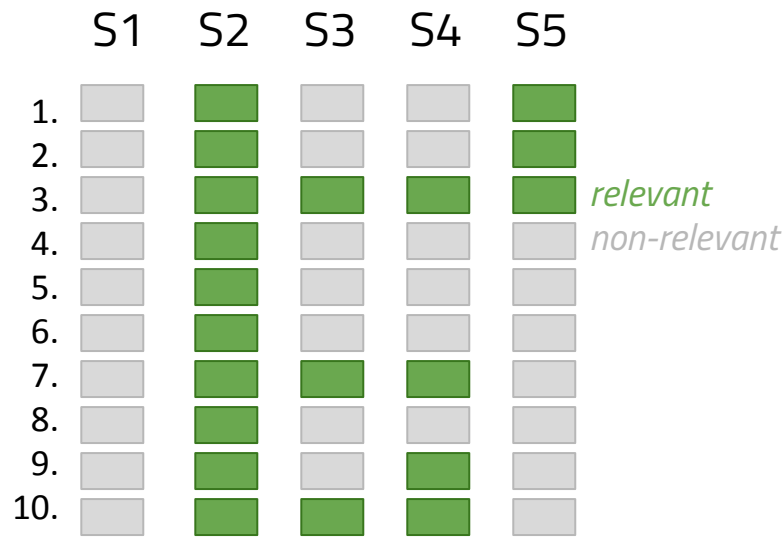
Q2: Number of relevant documents

Q3: How many relevant docs need to be
retrieved?

Popular Evaluation Measures

There are 60+ published IR metrics, we picked 7 here so do not despair by the amount of metrics. It is a tiny part of what is out there.

Precision



Precision at 10 docs
"P@10"

| System | S1 | S2 | S3 | S4 | S5 |
|-----------|-----|-----|-----|-----|-----|
| Precision | 0.0 | 1.0 | 0.3 | 0.4 | 0.3 |

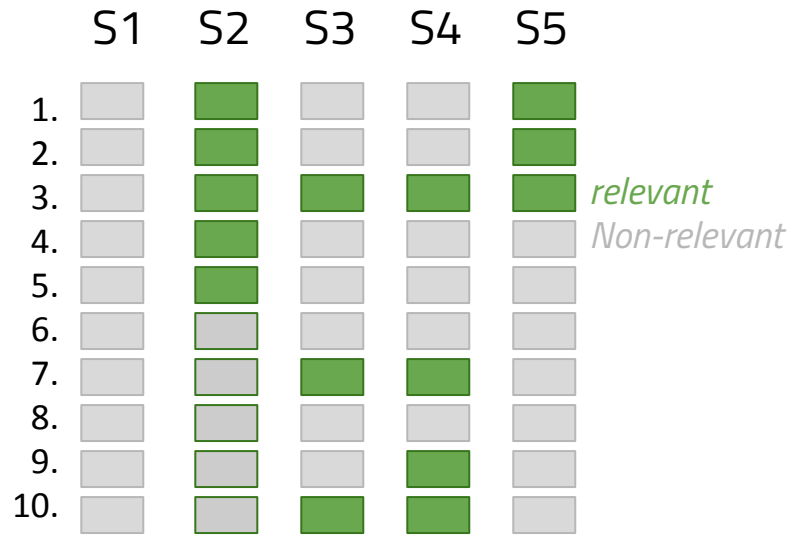
One query, five systems

Precision measures a system's ability to **only** retrieve relevant items.

R-precision is P@R where R=number of relevant documents.

$$precision = \frac{\text{num. relevant docs retrieved}}{\text{num. docs retrieved}}$$

Recall



Recall (assume R=5) 0.0 1.0 0.6 0.8 0.6

One query, five systems

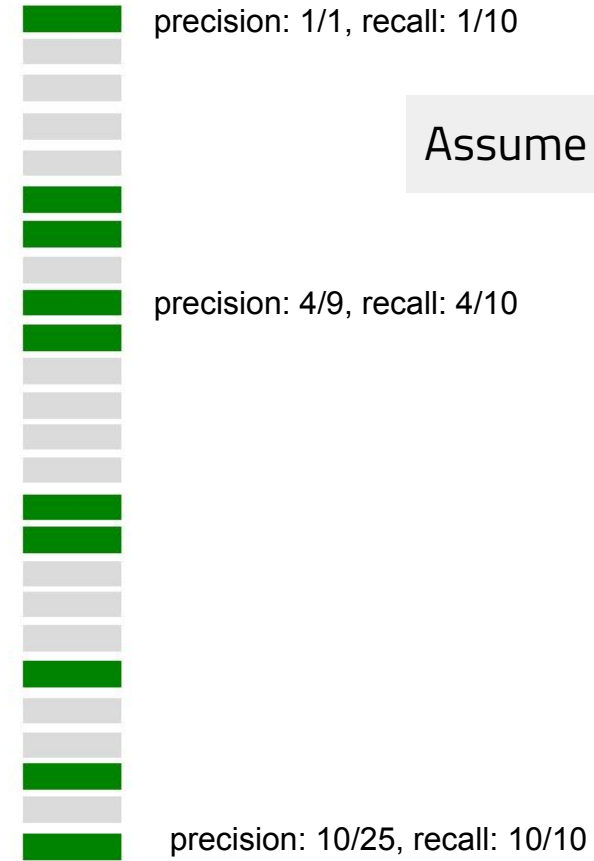
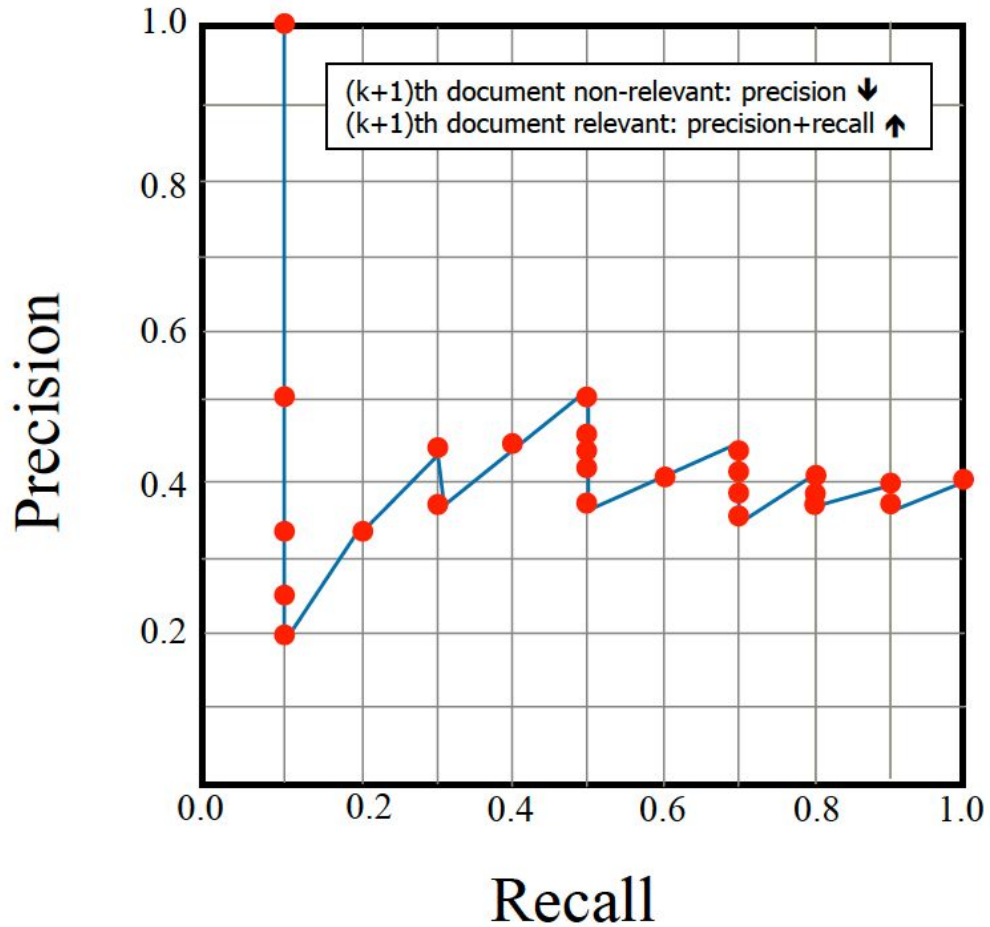
Recall measures a system's ability to retrieve all R relevant documents.

Recall and Precision are set-based measures. Retrieved are ranked lists.

$$recall = \frac{\text{num. relevant docs retrieved}}{\text{num. relevant docs in corpus}}$$

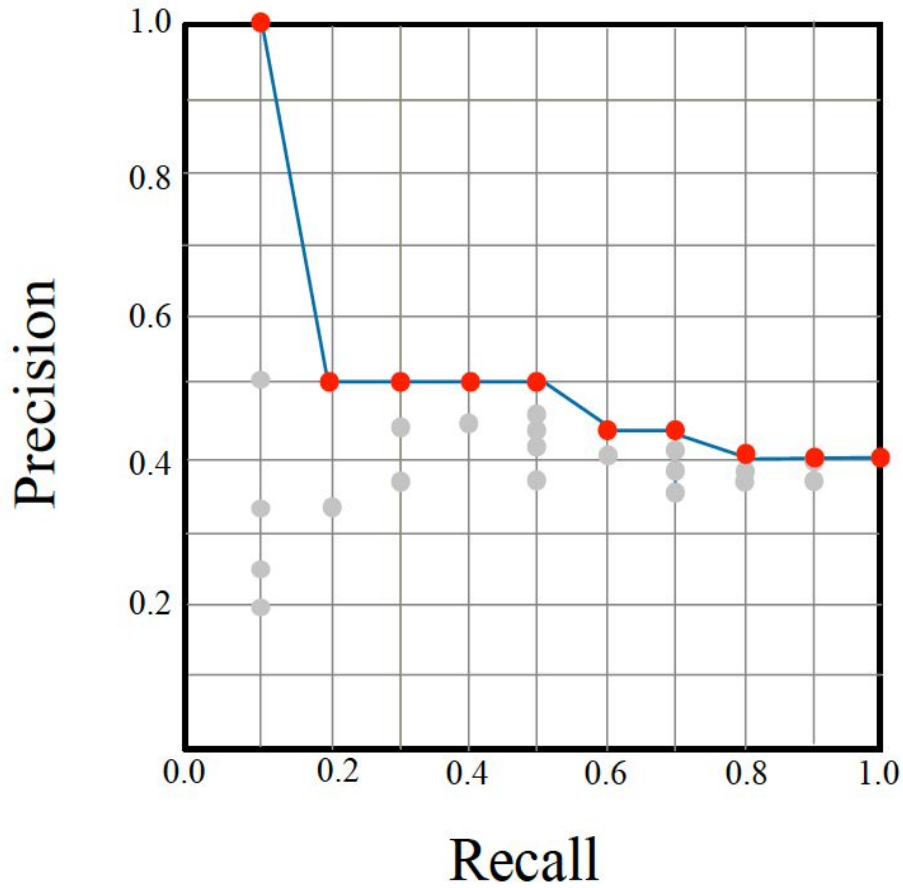
Recall-Precision Curve

One query, one system



Recall-Precision Curve

One query, one system

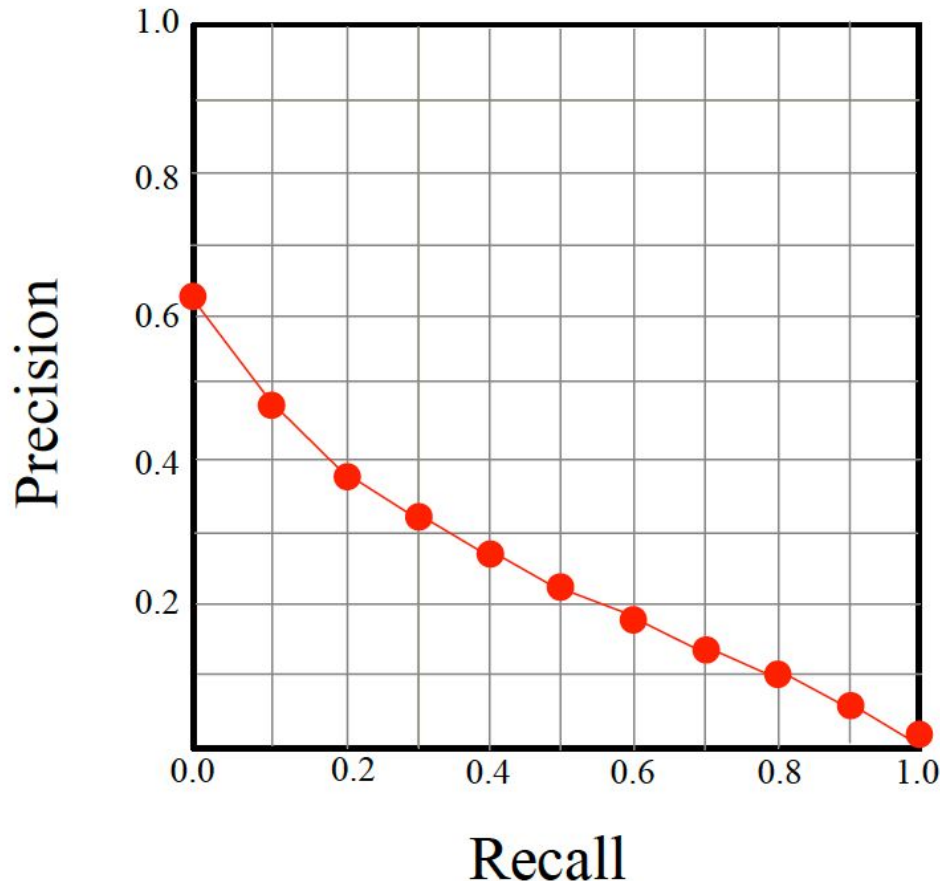


Interpolated precision at recall-level R

$$precision_{interp}(r) = \max_{r' \geq r} precision(r')$$

Recall-Precision Curve

Many queries, one system

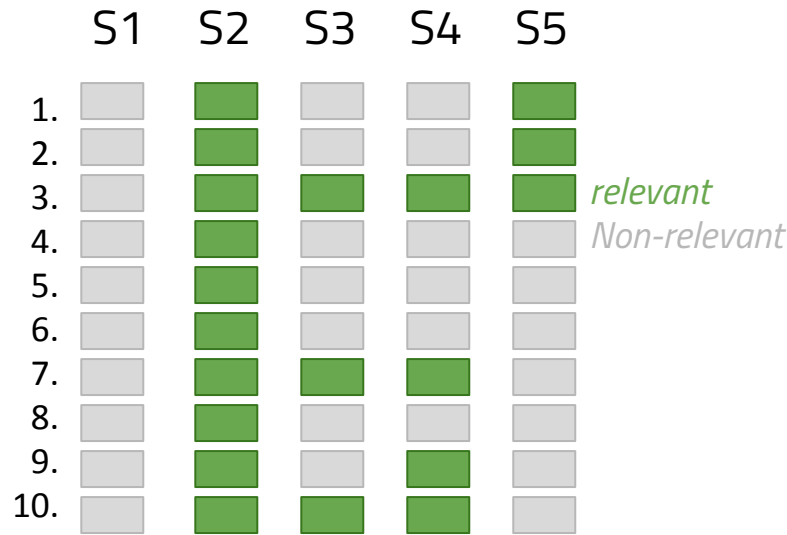


Precision at 11 standard recall values. Averaged over all queries.

The more relevant documents are retrieved (recall ↗), the more non-relevant documents are retrieved (precision ↘)

Problem: this is a graph, not a single number ... how do systems compare with different precision-recall curves?

Average Precision



AvP
(assume R=10)

0.0 1.0 0.09 0.13 0.3

$$AvP = \frac{1/3 + 2/7 + 3/9 + 4/10}{10}$$

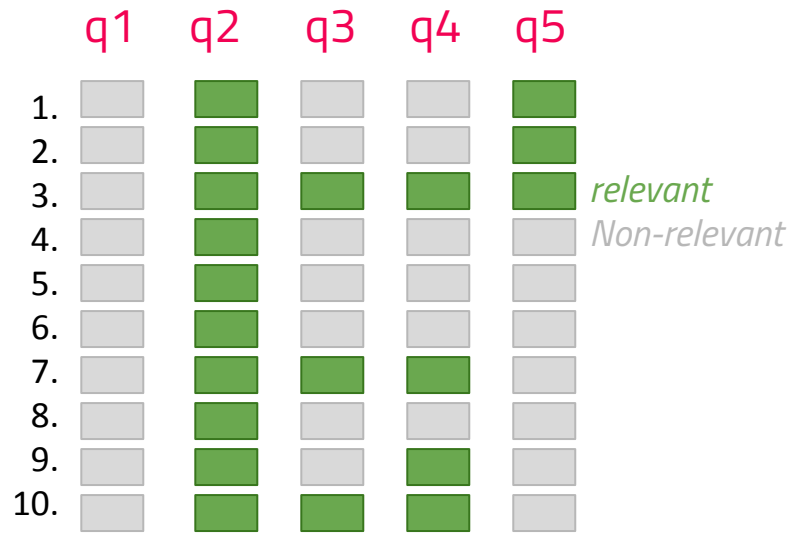
One query, five systems

Average precision takes the order (ranking) of the relevant and non-relevant documents into account

Average precision takes the number R of relevant documents into account.

$$AvP = \frac{\sum_{k=1}^s P@k \times rel(k)}{R}$$

Mean Average Precision



AvP (assume R=10)

| | | | | |
|-----|-----|------|------|-----|
| 0.0 | 1.0 | 0.09 | 0.13 | 0.3 |
|-----|-----|------|------|-----|

MAP = 0.364

One system, five queries

Given a set of queries, the average effectiveness is the mean over AvP.

MAP remains one of the most commonly employed retrieval evaluation measure to this day.

$$MAP = \frac{1}{|Q|} \sum_{q \in Q} \frac{\sum_{k=1}^s P@k \times rel(k)}{R}$$

Geometric Mean Average Precision

A measure designed to highlight improvements for low-performing topics

Geometric mean of per-topic average precision values (n is the num. topics):

$$\begin{aligned} GMAP &= \sqrt[n]{\prod_n AP_n} \\ &= \exp \frac{1}{n} \sum_n \log AP_n \end{aligned}$$

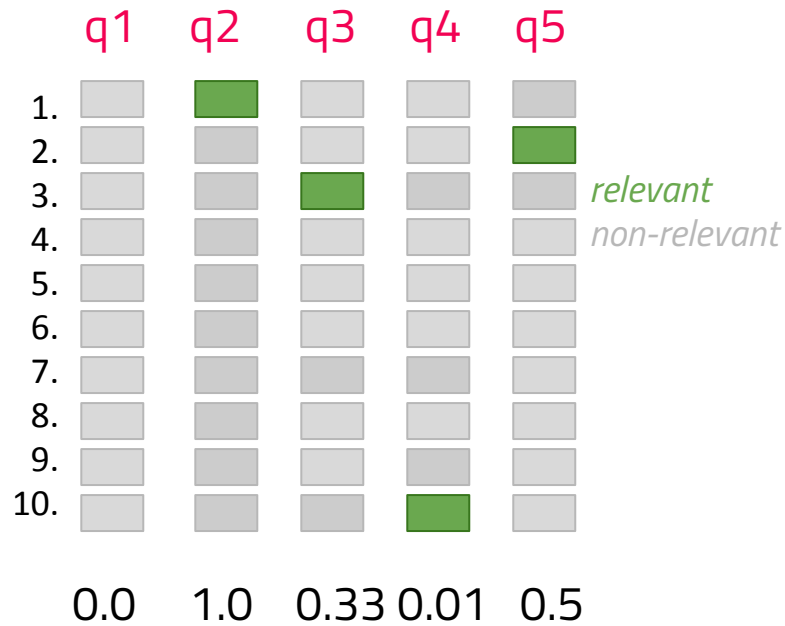
Two systems, five queries

| | S1 | S2 |
|----|------|------|
| q1 | 0.60 | 0.58 |
| q2 | 0.20 | 0.18 |
| q3 | 0.01 | 0.03 |
| q4 | 0.04 | 0.06 |
| q5 | 0.90 | 0.90 |

MAP = 0.350 MAP = 0.350
GMAP = 0.134 GMAP = 0.176

S2 performs better on the **worst topics**! Can we have a measure that prefers systems that do well on the worst topics?

Mean Reciprocal Rank



MRR=0.369

One system, five queries

One relevant document per query

Reciprocal rank averaged over all queries.

$$RR = \frac{1}{\text{rank of relevant doc}}$$

Turning away from binary qrels: Normalized Discounted Cumulative Gain (NDCG)

- Standard Web search queries are short (2-3 terms), e.g. "cheap internet", "dinosaurs", "solar panels"
- **Graded** relevance scales needed (e.g. 0-3)
- NDCG measures the "gain" of documents
- Assumptions:
 - **Highly relevant** documents are more valuable than **marginally relevant** documents
 - The greater the ranked position of a relevant document, the less **valuable** it is for the user
 - Few users go further than the first 10 blue links
 - Probability of reaching the document is lower
 - Users have limited time
 - Users may have seen the information in the document already

Instead of just giving you the end-result, let's look at how the metric was **developed**.

Turning away from binary qrels: Normalized Discounted Cumulative Gain (NDCG)

Direct cumulative gain can be defined iteratively



$$G' = \langle 3, 2, 3, 0, 0, 1, 2, 2, 3, 0, \dots \rangle$$

$$CG_i = \begin{cases} G_i, & \text{if } i = 1 \\ CG_{i-1} + G_i, & \text{otherwise} \end{cases}$$

$$CG' = \langle 3, 5, 8, 8, 8, 9, 11, 13, 16, 16, \dots \rangle$$

Turning away from binary

qrels: Normalized Discounted Cumulative Gain (NDCG)

Discounted cumulative gain: reduce the document score as its rank increases (but not too steeply)

- Divide the document score by the log of its rank
- Base of the logarithm determines discount factor

$$DCG_i = \begin{cases} CG_i, & \text{if } i < b \\ CG_{i-1} + G_i / \log_b i, & \text{if } i \geq b \end{cases}$$

assume $b=2$

$CG' = \langle 3, 5, 8, 8, 8, 9, 11, 13, 16, 16, \dots \rangle$

$DCG = \langle 3, 5, 6.9, 6.9, 7.3, 8, 8.7, 9.6, 9.6, \dots \rangle$

Turning away from binary

qrels: Normalized Discounted Cumulative Gain (NDCG)

Normalized discounted cumulative gain: compare DCG to the theoretically best possible

- Ideal vector sorts the document relevance judgments in decreasing order of relevance

I' is based on the search topic, not the retrieval result!

$$I' = \langle 3, 3, 3, 2, 2, 2, 1, 1, 1, 1, 0, 0, 0, \dots \rangle$$

$$CG_{I'} = \langle 3, 6, 9, 11, 13, 15, 16, 17, 18, 19, 19, 19, 19, \dots \rangle$$

$$DCG_{I'} = \langle 3, 6, 7.9, 8.9, 9.8, 10.5, 10.9, 11.2, 11.5, 11.8, \dots \rangle$$

- The DCG vectors are divided component-wise by the corresponding ideal DCG vectors
- NDCG for queries Q at rank k :

$$NDCG(Q, k) = \frac{1}{|Q|} \sum_{j=1}^{|Q|} Z_{kj} \sum_{m=1}^k \frac{2^{R(j,m)} - 1}{\log_2(1+m)}$$

Normalization so that a perfect ranking at k for query j is 1

Relevance score assessors gave D at query j

trec_eval

- T.H.E. standard tool to evaluate a system's ranking (given a set of qrels)
- Maintained by the TREC community, with 60+ measures, many of which can be parameterized (e.g. P@10)
- Some measures are obsolete by now
- https://github.com/usnistgov/trec_eval/

```
01 extern TREC_MEAS te_neas_rund;
02 extern TREC_MEAS te_neas_num_q;
03 extern TREC_MEAS te_neas_num_ret;
04 extern TREC_MEAS te_neas_num_rel;
05 extern TREC_MEAS te_neas_num_rel_ret;
06 extern TREC_MEAS te_neas_map;
07 extern TREC_MEAS te_neas_gn_map;
08 extern TREC_MEAS te_neas_Rprec;
09 extern TREC_MEAS te_neas_bpref;
10 extern TREC_MEAS te_neas_recip_rank;
11 extern TREC_MEAS te_neas_iprec_at_recall;
12 extern TREC_MEAS te_neas_P;
13 extern TREC_MEAS te_neas_relstring;
14 extern TREC_MEAS te_neas_recall;
15 extern TREC_MEAS te_neas_infAP;
16 extern TREC_MEAS te_neas_gn_bpref;
17 extern TREC_MEAS te_neas_Rprec_mult;
18 extern TREC_MEAS te_neas_utility;
19 extern TREC_MEAS te_neas_1ipt_avg;
20 extern TREC_MEAS te_neas_bing;
21 extern TREC_MEAS te_neas_G;
22 extern TREC_MEAS te_neas_ndcg;
23 extern TREC_MEAS te_neas_ndcg_rel;
24 extern TREC_MEAS te_neas_Rndcg;
25 extern TREC_MEAS te_neas_ndcg_cut;
26 extern TREC_MEAS te_neas_map_cut;
27 extern TREC_MEAS te_neas_relative_P;
28 extern TREC_MEAS te_neas_success;
29 extern TREC_MEAS te_neas_set_P;
30 extern TREC_MEAS te_neas_set_relative_P;
31 extern TREC_MEAS te_neas_set_recall;
32 extern TREC_MEAS te_neas_set_map;
33 extern TREC_MEAS te_neas_set_F;
34 extern TREC_MEAS te_neas_num_nonrel_judged_ret;
35 extern TREC_MEAS te_neas_prefs_num_prefs_oss;
36 extern TREC_MEAS te_neas_prefs_num_prefs_ful;
37 extern TREC_MEAS te_neas_prefs_num_prefs_ful_ret;
38 extern TREC_MEAS te_neas_prefs_simp;
39 extern TREC_MEAS te_neas_prefs_pair;
40 extern TREC_MEAS te_neas_prefs_avgjg;
41 extern TREC_MEAS te_neas_prefs_avgjg_Rnonrel;
42 extern TREC_MEAS te_neas_prefs_simp_ret;
43 extern TREC_MEAS te_neas_prefs_pair_ret;
44 extern TREC_MEAS te_neas_prefs_avgjg_ret;
45 extern TREC_MEAS te_neas_prefs_avgjg_Rnonrel_ret;
46 extern TREC_MEAS te_neas_prefs_simp_simp;
47 extern TREC_MEAS te_neas_prefs_pair_simp;
48 extern TREC_MEAS te_neas_prefs_avgjg_simp;
49 extern TREC_MEAS te_neas_map_avgjg;
50 extern TREC_MEAS te_neas_P_avgjg;
51 extern TREC_MEAS te_neas_Rprec_mult_avgjg;
52 extern TREC_MEAS te_neas_yaap;
```



Statistical significance tests

Significance tests

- Given the results from a number of queries, how can we conclude that ranking **algorithm A** is better than **algorithm B**?
- Significance tests enable us to reject the **null hypothesis** (no difference) in favor of the **alternative hypothesis** (B is better than A)
- (`trec_eval` does not come with those)

Significance tests

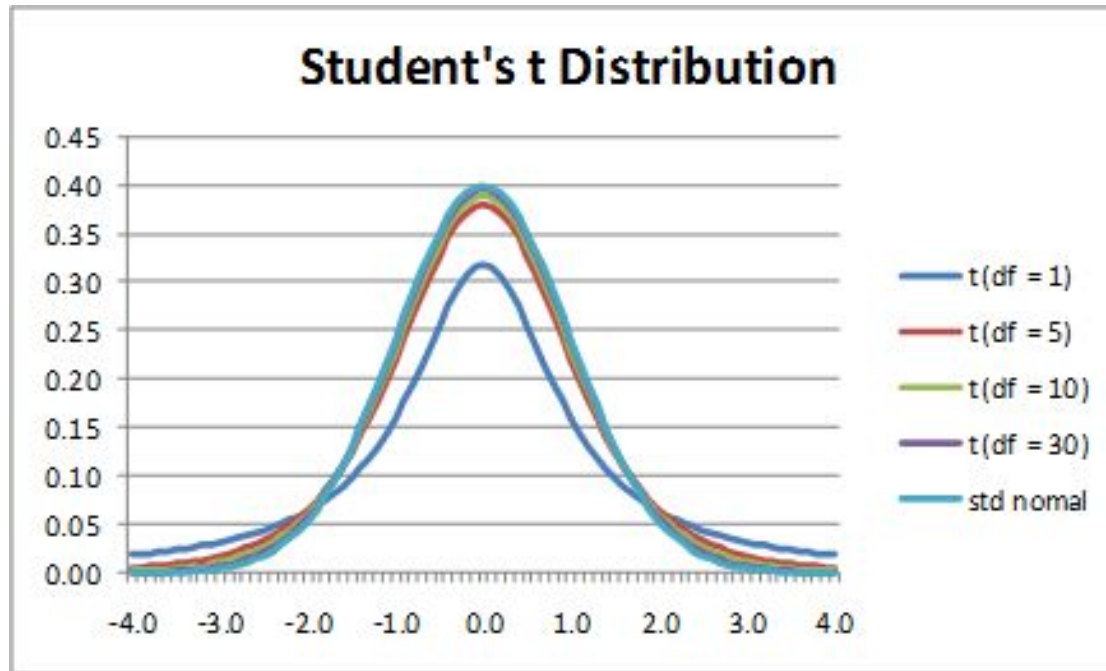
1. Compute the effectiveness measure for every query for both rankings.
2. Compute a *test statistic* based on a comparison of the effectiveness measures for each query. The test statistic depends on the significance test, and is simply a quantity calculated from the sample data that is used to decide whether or not the null hypothesis should be rejected.
3. The test statistic is used to compute a *P-value*, which is the probability that a test statistic value at least that extreme could be observed if the null hypothesis were true. Small P-values suggest that the null hypothesis may be false.
4. The null hypothesis (no difference) is rejected in favor of the alternate hypothesis (i.e., *B* is more effective than *A*) if the P-value is $\leq \alpha$, the *significance level*. Values for α are small, typically .05 and .1, to reduce the chance of a Type I error.

Paired t-Test

- Assumption is that the difference between the effectiveness values is a sample from a normal distribution
- Null hypothesis is that the mean of the distribution of differences is zero
- Test statistic

$$t = \frac{\overline{B-A}}{\sigma_{B-A}} \cdot \sqrt{N}$$

Student's t distribution



In Python:

```
from scipy import stats  
stats.ttest_rel(A,B)
```

$$t = \frac{\overline{B-A}}{\sigma_{B-A}} \cdot \sqrt{N}$$

Example

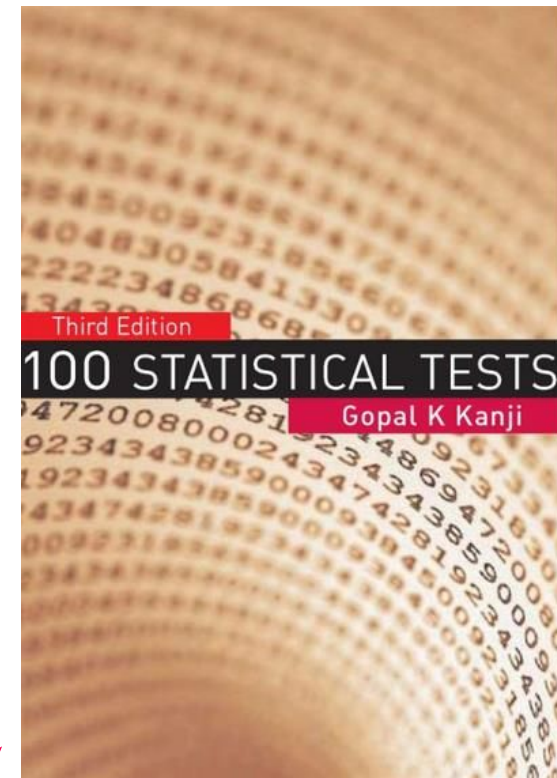
| Queries | A | B | C | D |
|---------|----------|----------|----------|----------|
| 1. | 0.1 | 0.2 | 0.101 | 0.15 |
| 2. | 0.2 | 0.1 | 0.201 | 0.20 |
| 3. | 0.9 | 0.5 | 0.901 | 0.99 |
| 4. | 0.5 | 0.9 | 0.501 | 0.65 |
| 5. | 0.5 | 0.5 | 0.501 | 0.55 |
| 6. | 0.1 | 0.1 | 0.101 | 0.60 |
| 7. | 0.1 | 0.1 | 0.101 | 0.15 |
| 8. | 0.5 | 0.5 | 0.501 | 0.50 |
| 9. | 0.9 | 0.9 | 0.900 | 0.95 |
| 10. | 0.3 | 0.3 | 0.301 | 0.45 |
| MAP | 0.409 | 0.409 | 0.411 | 0.519 |
| p-value | | 1.0 | 0.0000 | 0.043 |



“A statistically significant result is one that is unlikely to be the result of chance. But a practically significant result is meaningful in the real world. It is quite possible, and unfortunately quite common, for a result to be statistically significant and trivial. It is also possible for a result to be statistically non significant and important.”

Which test to use depends on the setting ...

- Commonly used in IR papers:
 - Mann-Whitney-Wilcoxon test (Wilcoxon Rank-Sum test)
 - Wilcoxon signed rank test (paired)
- Software packages exist in R, Python, SPSS, etc. that help you test
- A good book to find the right test for a given scenario



User-centered system evaluation

What if we are no longer happy to consider the toy Eiffel tower only?

Lets evaluate real systems with **real users** (=people using the system) **at small scale**.

Instead of "is the system any good?" we are now interested in "can users use the system to retrieve any good results?".



Relevant Factors in Interactive IR (or IIR)

- **Physical, cognitive and affective:** satisfaction with the system, difficulty of use (cognitive load), feelings after usage, etc.
- **Interactions between users and systems:** number of clicks, number of queries issued, query length, etc.
- **Interactions between users and information:** dwell time on a document, terms extracted from a snippet and used in a query, etc.

IIR approaches are diverse

- An **evaluation** measures the quality of a system, interface widget, etc. while an **experiment** compares at least two items (usually a baseline and an experimental system) with each other
- **Lab** (lots of control but artificial), **online** (some control, still artificial) vs. **naturalistic** (little control) studies
- **Longitudinal** studies: require an extended period of time (e.g. *investigate how students interact across 10 weeks with search engine X during their literature survey*)
- **Wizard of Oz** study: participants interact with a system they believe to be automated (in reality it is operated by a human)

Variables

Independent variables:
the causes

E.g. investigate how young an old people use an experimental and baseline IR system.

→ age is the independent variable

Dependent variables:
the effects

E.g. satisfaction with the search systems

Confounding variables

Affect the independent and dependent variables, but have not been controlled by the experimenter.

E.g. older people are not as familiar with the experimental device as young people.

The experimental design in IIR examines the relationship between 2 or more systems (independent variable) on some set of outcome measures (dependent variables).

Measurements

- “**Query logs**” or “transaction logs” are usually analyzed
- What can and should be measured depends on the **research questions** and the setup of the experiment (in a lab or online?)
- Logging clicks is insufficient as user studies have usually few participants (in contrast to Google/Bing with billions of clicks per day)
- **Client-side logging** is often necessary to track mouse hovers, document dwell time, eye movements (can be done via the Webcam), user activities in other browser tabs/windows, rephrasing of queries, ...

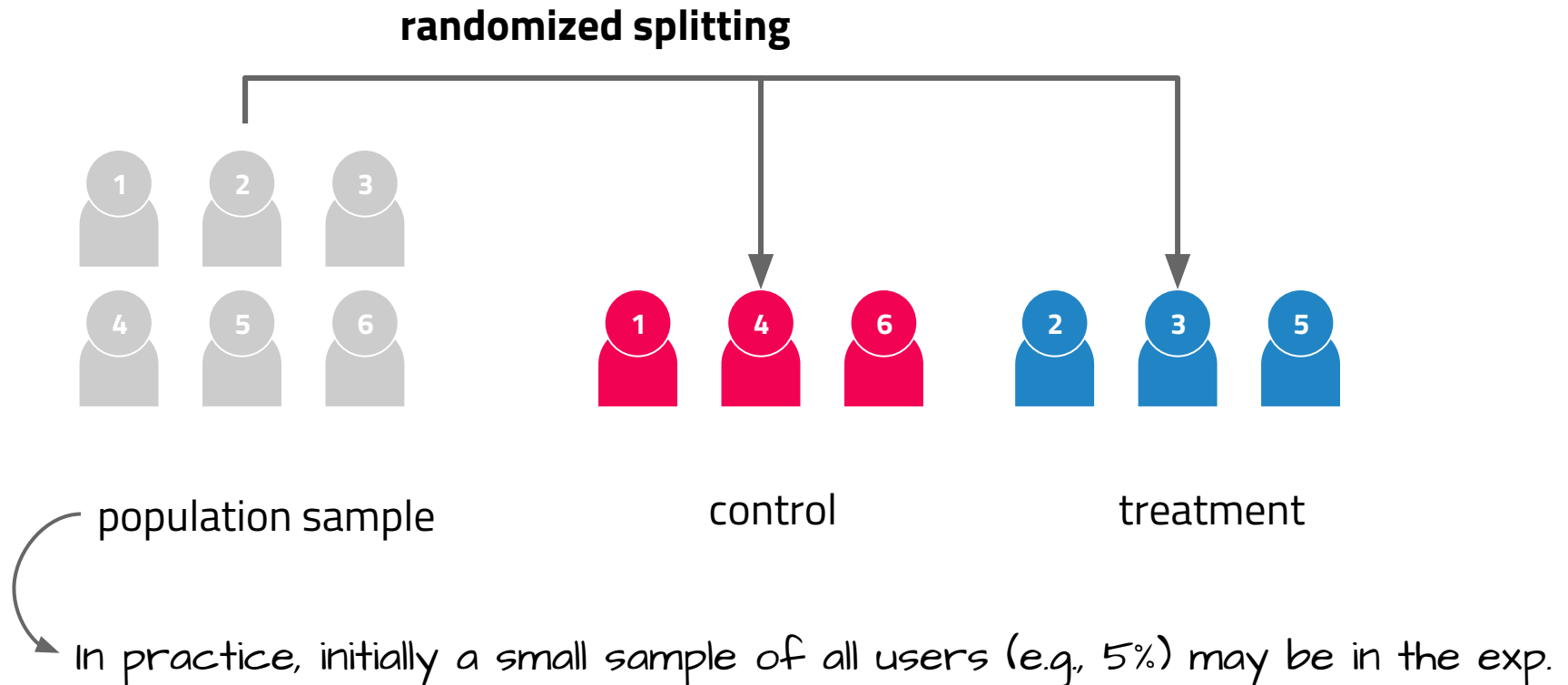
Online evaluation: “large-scale” A/B testing

Online evaluation

- A/B tests commonly require large amounts of users and are typically employed by large-scale Web portals (e.g. Bing runs hundreds of A/B tests concurrently [1])
- Focus on **implicit user feedback** (instead of explicit feedback - e.g. by answering "Is this relevant to you?")
 - Derived from observable user activity
 - Captured during natural interactions
- Implicit signals with various levels of noise
 - *Clicks, dwell-times, purchase decisions*

Between-subject experiments

Each user is exposed to a **single variant** (note that a user can participate in multiple experiments at once)



A/B test process

Also known as: Flights (Microsoft), 1% tests (Google), bucket tests (Yahoo!), randomized clinical trials (medicine)

- Randomly split traffic between two or more versions
 - A (**control**, typically the existing system)
 - B (**treatment 1**)
 - C (**treatment 2**)
 - ... *May also be called "experimental" group*
- Collect metrics of interest (e.g. ad revenue, retention, product conversion)
- Determine impact on the previously identified metrics
- Important: due to the large size of the sample, stat. sig. differences are easy to achieve (effect size becomes much more important [1])

Most common online evaluation metrics

- **Document-level**

- Click rate, click models

- **Ranking-level**

- Reciprocal rank, CTR@k, time-to-click, abandonment

- **Session-level**

- Queries per session, session length, time to first click

Lecture Summary

- Evaluation is not straightforward
- The **task** is paramount to the correct choice of evaluation measure
- Still researched today - every few months or so a new metric is being proposed
- The most widely used offline eval. metrics today are **MAP** and **NDCG**
- A very accessible survey on evaluation: "*Test Collection Based Evaluation of Information Retrieval Systems*" by Mark Sanderson [1]
- A great survey on interactive IR evaluation: "Methods for Evaluating Interactive Information Retrieval Systems with Users" by Diane Kelly [2]
- A tutorial on A/B testing: "A/B Testing at Scale Tutorial" by Pavel Dmitriev et al. [3]

[1] <http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.646.8451&rep=rep1&type=pdf>

[2] <https://pdfs.semanticscholar.org/328c/7b4ce5a0d81326ee2a3befa0f2dd630a48c1.pdf>

[3] <http://exp-platform.com/2017abtestingtutorial/>

That's it!

**Don't forget that milestone
M1 (IR vs NLP) is coming
up next week!**

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